

**Physical Securities Deposit Form 實貨證券存入指示**

日期 / Date : \_\_\_\_\_

致：擎天證券有限公司  
To: Sorrento Securities Limited

客戶姓名 Account Name: \_\_\_\_\_ 客戶號碼 Account Number: \_\_\_\_\_

請存入下列股票在本人 / 吾等於貴公司的賬戶內。 Please deposit and transfer the following stock(s) into my / our security account with your company

股票代號 Stock Code	股票名稱 Stock Name	登記持有人姓名 Registered Holder Name	股票編號 (由 - 至) Certificate Number (From-To)	合共數量 Total Quantity
		客戶 Client / CCASS		
		客戶 Client / CCASS		
		客戶 Client / CCASS		
		客戶 Client / CCASS		
		客戶 Client / CCASS		
		客戶 Client / CCASS		
		客戶 Client / CCASS		

釐印費 Transfer Deed: HK\$ \_\_\_\_\_

收取現金 Cash Received

戶口扣帳 Direct Debit From A/C

\_\_\_\_\_  
客戶簽署 Client's Signature(s)

**FOR OFFICE USE ONLY 公司專用**

S.V. by	Approved by	Input by	Checked by
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## 重要提示 Important Notes To Client

1. 如股票登記持有人為中央結算有限公司，客戶須提供提貨收據或其他檔案，證明其擁有權。此外，客戶亦可先將股票轉入其名下，才將股票存入本公司。否則，本公司將不接受該股票存入。 If the registered holder of the stock is in the name of CCASS, client is required to provide the Company with the withdrawal receipt or other documents evidencing his / her ownership of the stock. Alternatively, client may transfer the stock into his / her own name before depositing the same with the Company. Otherwise, the Company will not accept the deposit of the stock.
2. 由於上述存入的股票需待中央結算有限公司和有關股份過戶及登記處驗證，方可作實，故此於存入股票後起計，本公司需要最少十個工作天核對股票資料。如客戶於存入股票後即時沽出股票或於驗證完成前沽出股票，所賣出股票款項將會於存入股票後起計十個工作天後支付給客戶。如客戶沽出股票後即運用該款項買入其他股票，本公司有權決定是否批准該買入交易。 Since the transfer of the stock is only valid subject to the clearance by CCASS and the relevant share registrar(s), the Company requires at least ten working days after the deposit for verification. Therefore, if client sells the stock immediately after the deposit or before the clearance of the transfer, the sale proceeds will only be payable to client ten working days after the deposit. If client immediately uses the sale proceeds to purchase other stock, our company reserves the right to accept or reject the purchase order.
3. 客戶同意支付所有印花稅及其他有關費用，所有費用均不可退還。 Client undertakes to pay all transfer deed fees and any other relevant fees which are non-refundable.
4. 客戶同意補償本公司因上述股票存入之一切損失及責任。 Client undertakes to indemnify the Company against all liabilities and losses whatsoever incurred by the Company in connection with the stock deposit.
5. 單名實貨股票，必須存入客戶於本公司之單名個人證券帳戶內，不能存入聯名證券帳戶。而聯名持有之實貨股票，亦須存入客戶於本公司之相同的聯名帳戶內，並不能存入單名證券帳戶內。 Single named physical scrip must be deposited into client's individual named security account of our Company and cannot be deposited into any joint account. While jointly held physical scrip must be deposited into clients' joint account of our Company. It must not be deposited into any single named account.