

**Settlement Instruction Form 交收指示表格**

日期 / Date : \_\_\_\_\_

客戶姓名 Account Name: \_\_\_\_\_ 客戶號碼 Account Number: \_\_\_\_\_

請在以下適當空格中加剔號✓：  
Please tick ✓ the appropriate box at below:

- 請將以下股票 **轉出** 到下列交易對手  
**Deliver** the following stocks to counterparty
- 請從交易對手 **收取** 以下股票  
**Receive** the following stocks from counterparty

股票號碼 Stock Code	股票名稱 Stock Name	股數 Quantity	職員專用 For Office Use Only		
			Handling Chg.	CCASS Ref. No.	Remark

**Counterparty Details**

**交易對手詳情**

證券商名稱 Participant Name : \_\_\_\_\_

證券商編號 Participant ID. : \_\_\_\_\_

聯絡人 Participant Contact Person : \_\_\_\_\_ 聯絡電話 Contact No.: \_\_\_\_\_

備註: Remarks : \_\_\_\_\_

<p>付款指示* Payment instructions: 請選擇右框內其中一種付款方式 Please select <b>ONE</b> of the two options in the right box *如沒有選擇右框內的付款方式,本公司將視作爲 “無需付款交收”. “Free of Payment” will assume to be the default payment instruction if neither choice in the right box was selected.</p>	<p>( ) 無需付款交收 Free of Payment</p> <p>( ) 貨銀對付交收 Delivery/Receipt Versus Payment (DVP/RVP) 交收金額 Money Consideration for DVP/RVP 港幣: HKD: _____</p>
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客戶簽署及公司印章(如適用)  
Authorized Signature(s) and Company Chop (if applicable)

**FOR OFFICE USE ONLY 公司專用**

S.V. By:	Input By:	Checked By:	Approved By:
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